

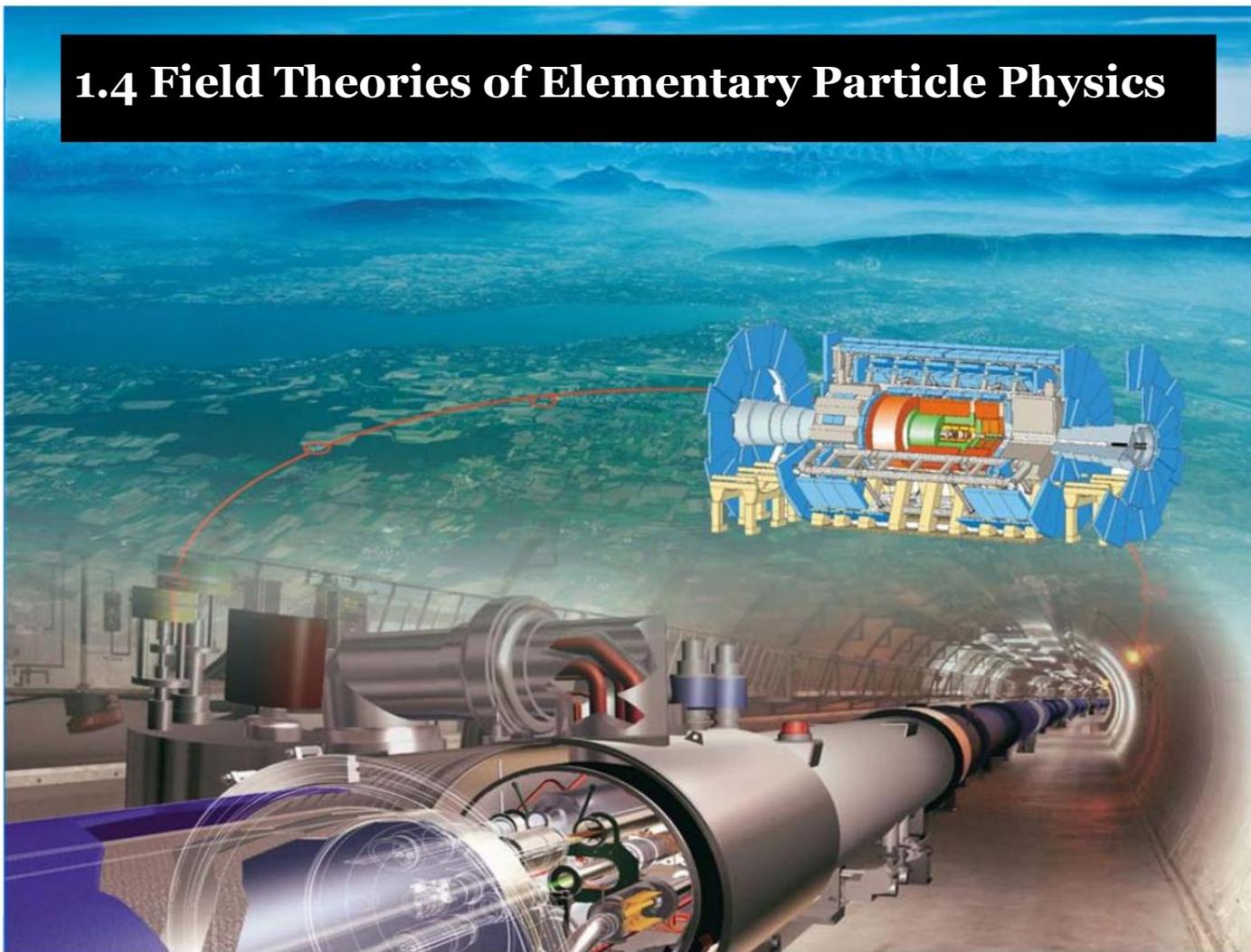
# Testing the Standard Model of Elementary Particle Physics I

Sixth lecture

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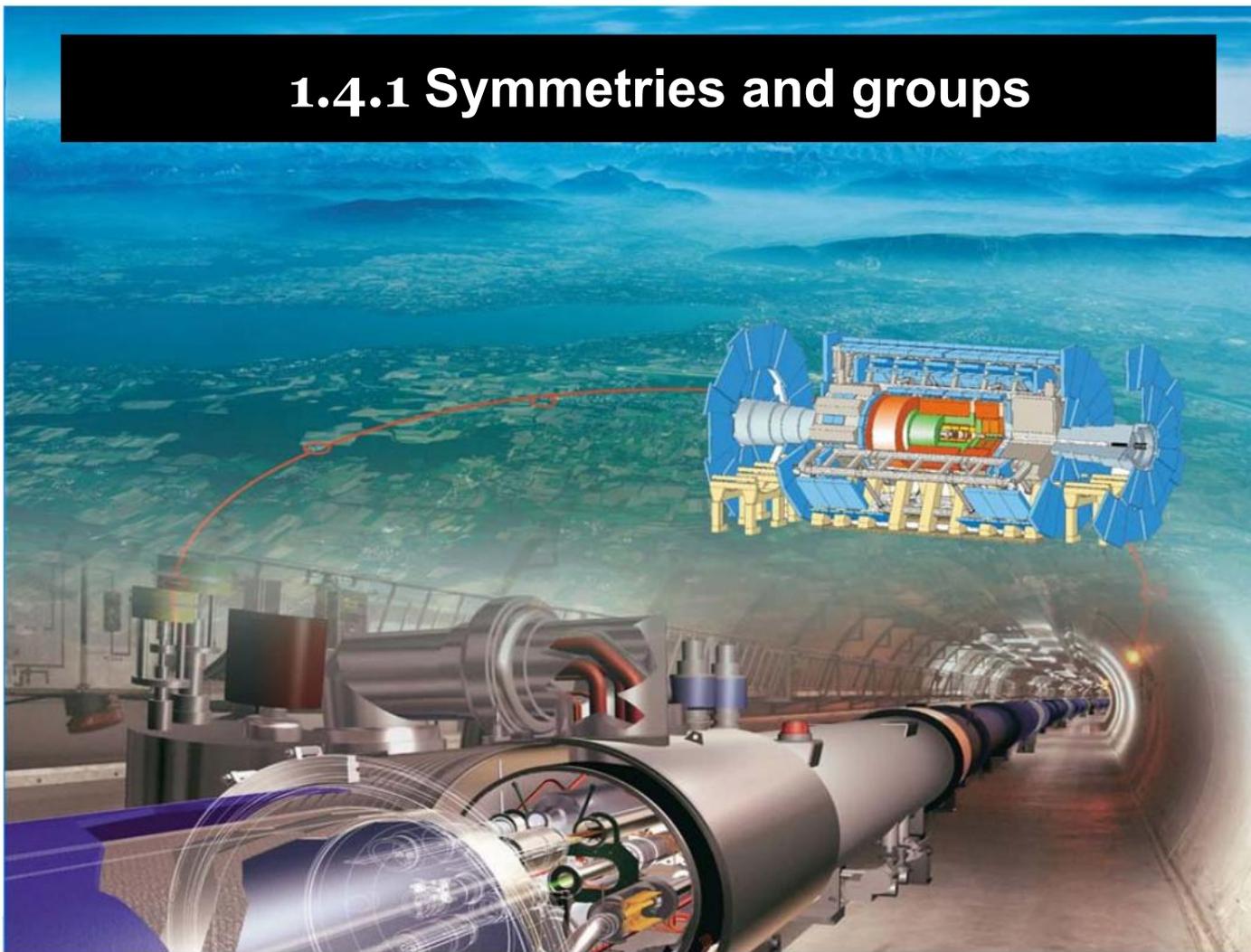
## 1.4 Field Theories of Elementary Particle Physics



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## 1.4.1 Symmetries and groups



# Symmetries in physics

- **Symmetries in nature imply conservation laws.**

Space translation	$\longleftrightarrow$	Momentum
Time translation	$\longleftrightarrow$	Energy
Rotation	$\longleftrightarrow$	Angular momentum
U(1) gauge invariance	$\longleftrightarrow$	Charge conservation

- **Basic principle of QFT: Lagrange functions  $\mathcal{L}$  are formulated to be invariant, under global and local phase transformations**

# Noether's theorem

- Consider a continuous transform of the field  $\varphi$ , which in infinitesimal form can be written as:

$$\phi(x) \rightarrow \phi'(x) = \phi(x) + \alpha \Delta \phi(x)$$

- If  $\mathcal{L}(\varphi, \partial_\mu \varphi)$  is invariant under such transform

$$\delta \mathcal{L} = 0$$

- Then there is a current  $j_\mu(x)$  **conserved**

$$j^\mu(x) \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi)} \Delta \phi \rightarrow \partial_\mu j^\mu(x) = 0$$

- Conservation law can also be expressed via:

$$Q \equiv \int j^0 d^3x \quad \longrightarrow \quad \frac{dQ}{dt} = 0$$

# Gauge symmetries:

- **Global gauge invariance:**

- The expectation value of a quantum mechanical observable

$$\langle \mathcal{O} \rangle = \int \psi^* \mathcal{O} \psi$$

Is invariant with respect to the global phase transformation of the wave function:

$$\psi(x) \longrightarrow \psi'(x) = e^{iQ\alpha} \psi(x)$$

- The invariance of a Lagrangian with respect to a phase transformation corresponds to a **global U(1) symmetry** (referred to as gauge symmetry)
  - Leads according to the Noether theorem to a conservation of probability and charge

# Gauge symmetries:

- **Global gauge invariance:**

- The Lagrangian  $\mathcal{L}(\phi, \phi^*, \partial_\mu \phi, \partial_\mu \phi^*)$  for a complex scalar is invariant under U(1) gauge transformations:

$$\begin{aligned}\phi(x) &\longrightarrow \phi'(x) = e^{iQ\alpha} \phi(x), \\ \phi^*(x) &\longrightarrow \phi^{*'}(x) = e^{-iQ\alpha} \phi^*(x).\end{aligned}$$

where  $\alpha$  does not depend on the space-time (as it is a “global parameter”)

- The Lagrangian is also invariant under infinitesimal variations of these fields  $\delta\Phi$ :

$$\begin{aligned}\phi(x) &\longrightarrow \phi'(x) = \phi(x) + \delta\phi(x) = \phi(x) + iQ(\delta\alpha)\phi(x), \\ \phi^*(x) &\longrightarrow \phi^{*'}(x) = \phi^*(x) + \delta\phi^*(x) = \phi^*(x) - iQ(\delta\alpha)\phi^*(x)\end{aligned}$$

- Such that:

$$\delta(\partial_\mu \phi) = iQ(\delta\alpha)\partial_\mu \phi$$

follows.

# Gauge symmetries:

- **Global gauge invariance:**

- Exploiting the Euler-Lagrange equation gives:

$$\begin{aligned}\delta\mathcal{L} &= \frac{\partial\mathcal{L}}{\partial\phi}\delta\phi + \frac{\partial\mathcal{L}}{\partial(\partial_\mu\phi)}\delta(\partial_\mu\phi) + \frac{\partial\mathcal{L}}{\partial\phi^*}\delta\phi^* + \frac{\partial\mathcal{L}}{\partial(\partial_\mu\phi^*)}\delta(\partial_\mu\phi^*) \\ &= \left[ \partial_\mu \frac{\partial\mathcal{L}}{\partial(\partial_\mu\phi)} \right] iQ(\delta\alpha)\phi + \frac{\partial\mathcal{L}}{\partial(\partial_\mu\phi)} iQ(\delta\alpha)\partial_\mu\phi + \text{c.c.} \\ &= iQ(\delta\alpha)\partial_\mu \left[ \frac{\partial\mathcal{L}}{\partial(\partial_\mu\phi)} \phi \right] - iQ(\delta\alpha)\partial_\mu \left[ \frac{\partial\mathcal{L}}{\partial(\partial_\mu\phi^*)} \phi^* \right] \equiv 0\end{aligned}$$

- According to the Noether's theorem, we can calculate a current:

$$j^\mu \equiv -iQ \left( \frac{\partial\mathcal{L}}{\partial(\partial_\mu\phi)} \phi - \frac{\partial\mathcal{L}}{\partial(\partial_\mu\phi^*)} \phi^* \right)$$

where  $Q$  is the **conserved charge** (since the current fulfills the **continuity equation**)

$$\text{i.e.: } \frac{d}{dt} Q = 0$$

# Gauge symmetries:

- **Local gauge invariance:**

- Now we want to ensure that quantum mechanical observables are also invariant under local phase transformations of the wave function:

$$\psi(x) \longrightarrow \psi'(x) = e^{iQ\alpha(x)}\psi(x)$$

- Space-time dependent phase transformations (local gauge transformations) imply:

$$\partial_\mu\psi(x) \longrightarrow \partial_\mu\psi'(x) = e^{iQ\alpha(x)}[\partial_\mu\psi(x) + iQ(\partial_\mu\alpha(x))\psi(x)]$$

- Thus need to introduce a **covariant derivative** like:

$$\partial_\mu \longrightarrow D_\mu \equiv \partial_\mu + ieQA_\mu(x)$$

Example: **QED**

(17)

in order to ensure that the Lagrangian becomes invariant under local phase transformations.

# Gauge symmetries:

- **Local gauge invariance:**

- In equation (17), we find the electric charge of the fermion field  $q = eQ$ , and the vector potential  $A_\mu(x)$  of the electromagnetic field (which is the gauge field of the  $U(1)$ ). The latter field transforms under phase rotation like:

$$A_\mu(x) \longrightarrow A'_\mu(x) = A_\mu(x) - \frac{1}{e} \partial_\mu \alpha(x)$$

- Thus  $\psi^* D_\mu \psi$  is invariant under local phase transformations since:

$$\begin{aligned} D_\mu \psi(x) &= (\partial_\mu + ieQA_\mu) \psi \\ \longrightarrow D'_\mu \psi'(x) &= (\partial_\mu + ieQA'_\mu(x)) e^{iQ\alpha(x)} \psi(x) \\ &= e^{iQ\alpha(x)} [\partial_\mu + iQ\partial_\mu \alpha(x) + ieQA_\mu(x) - iQ\partial_\mu \alpha(x)] \psi(x) \\ &= e^{iQ\alpha(x)} [\partial_\mu + ieQA_\mu(x)] \psi(x) \equiv e^{iQ\alpha(x)} D_\mu \psi(x) \end{aligned}$$

# Gauge symmetries:

- **Local gauge invariance:**

- The local gauge invariance under U(1) transformations is obtained by introducing an interaction between the fermion field and the electromagnetic field.
- The global U(1) symmetry of the field equations leads to a conserved charge (which is the source of the electromagnetic field)
- An interaction (coupling between matter and gauge fields) is unambiguously determined due to the requirement of local phase invariance (local gauge principle) i.e. via the covariant derivative:

$$D_\mu \psi(x) = \partial_\mu \psi(x) + ieQA_\mu(x)\psi(x)$$

# Gauge symmetries:

- **Local gauge invariance:**

- **Example: (electromagnetic interaction between a fermion and the photon field)**
  - The Lagrangian of the free Dirac field:

$$\mathcal{L}_{\text{free}} = \bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi$$

is adjusted to follow local gauge invariance

$$\begin{aligned}\mathcal{L} &= \bar{\psi}(i\gamma^\mu D_\mu - m)\psi \\ &= \bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi - eQA_\mu \bar{\psi}\gamma^\mu\psi \\ &= \mathcal{L}_{\text{free}} - j^\mu A_\mu\end{aligned}$$

and introducing the coupling to the electromagnetic current:

$$j^\mu = eQ\bar{\psi}\gamma^\mu\psi$$

# Gauge symmetries:

- **Local gauge invariance:**

- **Example: (electromagnetic interaction between the a fermion and the photon field)**

- **Lagrangian of the quantum electrodynamics:**

$$\begin{aligned}\mathcal{L}_{QED} &= \mathcal{L}_{\text{free}}^{\text{Photon}} + \mathcal{L}_{\text{free}}^{\text{Fermion}} + \mathcal{L}_{\text{Interaction}} \\ &= -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \bar{\psi}(i\gamma^\mu\partial_\mu - m)\psi - j^\mu A_\mu \\ &= -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \bar{\psi}i\gamma^\mu\partial_\mu\psi - m\bar{\psi}\psi - j^\mu A_\mu \\ &= \mathcal{L}_{\text{kin}}^{\text{Photon}} + \mathcal{L}_{\text{kin}}^{\text{Fermion}} + \mathcal{L}_{\text{Mass}}^{\text{Fermion}} + \mathcal{L}_{\text{Interaction}}\end{aligned}$$

# Group theories:

- **Gauge symmetries are described via so-called Lie groups:**

- i.e. groups of transformations  $g(\alpha)$  that are analytical functions of a set of continuous parameters  $\alpha_a$  (with  $a = 1, \dots, n$ )
- The infinitesimal transformation can be written as:

$$\delta g(\alpha_1, \alpha_2, \dots, \alpha_n) = \mathbb{1} + i\alpha^a T^a$$

where  $T^a$  ( $a = 1, \dots, n$ ) are the **generators** of the group.

- All elements of the group can be written in the form:

$$g(\alpha) = \exp(i\alpha^a T^a)$$

- These transformations are **unitary** if the generators are hermitian
- Unitarity is a condition for symmetry transformations of quantum mechanical states in order to guarantee the conservation of probability

- Lie groups are relevant for the inner symmetries of the SM particles as well as for the gauge symmetry

# Group theories:

- **Gauge symmetries are described via so-called Lie groups:**
  - The hermitian generators are quantum mechanical observables and conserved quantities
  - A set of linear independent generators of a Lie group follow the commutation rules:

$$[T^a, T^b] = T^a T^b - T^b T^a = if^{abc} T^c$$

with the **structure constants**  $f^{abc}$  and the relation:

$$[T^a, T^b] = -[T^b, T^a]$$

- The generators and the commutation operation define the **Lie Algebra** of a group.
- The structure constants are specific to a Lie Algebra, but they depend also on the choice of independent generators and thus also on the parameters of the group
- The number of independent parameters/generators gives the order N of a group
- The maximum number of commuting generators of a Lie group is called its rank R

# Group theories:

- The following Lie groups are relevant for the Standard Model:
  - **U(1):**
    - abelian (i.e. group is commutative)
    - for unitary 1-dimensional phase transformations
    - Rank 1
  - **SU(N) ( $N \geq 2$ ):**
    - non-abelian
    - for special unitary transformations of N-dimensional complex vectors following:
      - $U^\dagger U = 1$
      - $\text{Det}(U) = 1$
    - The generators of these groups  $T = T^\dagger$  are hermitian  $n \times n$  matrices with  $\text{Tr}(T) = 0$ 
      - $N^2 - 1$  independent matrices/generators exists
    - Rank  $N - 1$

# Group theories:

- Other Lie groups:

- **SO(N): (special orthogonal groups)**

- $O^T O = 1$
- $\text{Det}(O) = 1$
- $N(N-1)/2$  generators exist
- Rank  $R = N/2$

- **Exceptional Lie groups:**

- $G_2(N = 14, R = 2)$
- $F_4(N = 52, R = 4)$
- $E_6(N = 78, R = 6)$
- $E_7(N = 133, R = 7)$
- $E_8(N = 248, R = 8)$

Used in e.g. string theories



# Discrete symmetries

- Symmetries of a free particle (here: fermion) for the electromagnetic and strong interactions but not for the weak interaction:

- **Parity P** inverts the direction of the (space) axis:  $\vec{x} \longrightarrow -\vec{x}$ ,  $\vec{p} \longrightarrow -\vec{p}$

$$\psi(t, \vec{x}) \longrightarrow \psi^P(t, -\vec{x}) = \eta_P \gamma^0 \psi(t, \vec{x})$$

- **Time inversion T**:  $t \longrightarrow -t$

$$\psi(t, \vec{x}) \longrightarrow \psi^T(-t, \vec{x}) = i\gamma^1 \gamma^3 \psi^*(t, \vec{x})$$

- **Charge conjugation**: particle  $\rightarrow$  antiparticle,  $Q_f \longrightarrow -Q_f$

$$\psi(t, \vec{x}) \longrightarrow \psi^C(t, \vec{x}) = i\eta_C \gamma^2 \psi^*(t, \vec{x})$$

# Discrete symmetries

- CP symmetry:
  - Is experimentally known to be violated by at least the weak interaction
- CPT symmetry:
  - Is conserved for all local and lorentz invariant field theories with  $\mathcal{L}^\dagger = \mathcal{L}$  and a spin–statistics theorem (and thus for all interactions)
    - Some BSM theories actually predict CPT violation (e.g. in string theory)
  - Formulated in the 1950s by Schwinger, Pauli, Lüders
  - Implies that particle/antiparticle have the same mass
  - Experimental effort ongoing to test CPT invariance

# CPT Invariance

- **The simplest tests of CPT invariance probe the equality of the masses and lifetimes of a particle and its antiparticle:**
  - The best test currently comes from the limit on the mass difference between  $K^0$  and  $\bar{K}^0$
  - Any such difference contributes to the CP violating parameter  $\varepsilon$ .
  - Assuming CPT invariance,  $\Phi_\varepsilon$ , the phase of  $\varepsilon$  should be very close to  $44^\circ$
  - In contrast, if the entire source of CP violation in  $K^0$  decays were a  $K^0 - \bar{K}^0$  mass difference,  $\Phi_\varepsilon$  would be  $44^\circ + 90^\circ$ .
  - Assuming that there is no other sources of CPT violation, it is possible to constrain the mass difference. The current best constrain at a 90%CL is:

$$\left| \frac{(m_{\bar{K}^0} - m_{K^0})}{m_{K^0}} \right| \leq 0.6 \cdot 10^{-18}$$

From particle data group (pdg): <https://pdg.lbl.gov/2017/reviews/rpp2017-rev-conservation-laws.pdf>

For more information see: [CP violation in K decays: results from NA31, prospects in NA48 - INSPIRE](#)

## 1.4.2 Fundamental Forces and their Unification



# Fundamental interactions

- Based on the symmetry principle, local gauge theories (Yang-Mills theory) provide a general description of all known interactions between the fundamental particles (Quarks and Leptons).
- The properties of these interactions are determined by gauge symmetry groups. **Fermions (Spin 1/2 particles) build up the multi-plets used in the formulation of these gauge symmetries.**
- The generators of the gauge symmetry groups (Lie-groups) are the generalized charge operators of an interaction. The interactions are mediated via the exchange of **vector-bosons (Spin 1 particles)**.
- The electromagnetic (EM), the weak and the strong interaction can be described via (special) unitary symmetry groups.

# Fundamental interactions

Interaction	EM	Weak	Strong
Gauge symmetry	$U(1)$	$SU(2)$	$SU(3)$
Theory	QED	GSW	QCD
Gauge boson	Photon	$W^{\pm}, Z^0$	8 Gluons
Acts on	electric charge	flavour	colour charge
Range	$\infty$	$10^{-18}\text{m}$	$10^{-15}\text{m}$

Gravitation is not described by SM

# Fundamental interactions

- The number of independent parameters as well as the number of generators (generalized charges) of a group  $SU(N)$  is  $N^2 - 1$  (order of a group). Here,  $N$  is the number of degrees of freedom of particle states (i.e. the dimension)
- The gauge symmetry group of the Standard Model of particle physics is the product of the individual Lie-groups:

$$U(1) \otimes SU(2) \otimes SU(3)$$

- The free fermion states ( $f = e, \mu, \tau, \nu_e, \nu_\mu, \nu_\tau, u, d, s, c, b, t$ ) of the Standard Model are thus given via:

- Particles:

$$\psi_f^+(x, E > 0) = u(p)e^{-ip_\mu x^\mu} \times e^{iQ_f \alpha} \times \begin{pmatrix} u \\ d \end{pmatrix}_L \times \begin{pmatrix} r \\ g \\ b \end{pmatrix}_q$$

- Anti-particles:

$$\psi_f^-(x, E < 0) = v(p)e^{ip_\mu x^\mu} \times e^{-iQ_f \alpha} \times \begin{pmatrix} u \\ d \end{pmatrix}_R \times \begin{pmatrix} \bar{r} \\ \bar{g} \\ \bar{b} \end{pmatrix}_q$$

# Quantum Electrodynamics (QED)

- Gauge theory based on the U(1) symmetry group (electric charge)
- **Gauge field (photon field):** Potential  $A_\mu$
- **Gauge boson (photon):** Spin-1, massless (due to gauge symmetry requirement)
- Lagrangian density describing the coupling between a photon and fermion:

$$\mathcal{L}_{QED} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \bar{\psi}_f(i\gamma^\mu D_\mu - m_f)\psi_f \quad (18)$$

with the covariant derivative:

$$D_\mu = \partial_\mu + ieQA_\mu$$

and the field-strength tensor:

$$F_{\mu\nu} = \partial_\nu A_\mu - \partial_\mu A_\nu$$

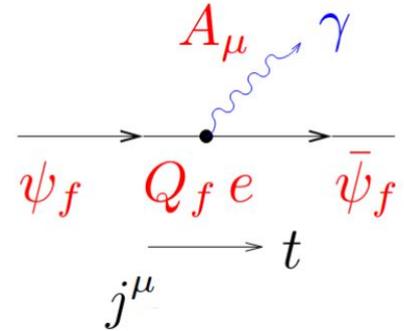
# Quantum Electrodynamics (QED)

- Gauge field couples to current:

$$\mathcal{L}_{\text{Interaction}} = -j^\mu A_\mu$$

- Here the conserved (electromagnetic) current is defined by:

$$j^\mu = eQ_f \bar{\psi} \gamma^\mu \psi$$



- The couplings strength is determined via the elementary charge  $e$ , while  $Q_f$  is the eigenvalue of the charge operator (generator of the  $U(1)$  gauge symmetry group).
- Local  $U(1)$  gauge transformations defined by:

$$\psi_f(x) \rightarrow \psi'_f(x) = e^{-ieQ_f a(x)} \psi_f(x)$$

$$A_\mu(x) \rightarrow A'_\mu(x) = A_\mu(x) + \partial_\mu a(x)$$

keep the Lagrangian from equation (18) invariant

# Quantum Electrodynamics (QED)

- Global U(1) gauge symmetry → Conservation of electric charge (Noether's theorem)
- Continuity equation:

$$\partial_{\mu} j^{\mu} = \frac{\partial \rho}{\partial t} + \vec{\nabla} \cdot \vec{j} = 0$$

$$\rightarrow \frac{dQ}{dt} = \int d^3x \frac{\partial \rho}{\partial t} = - \int d^3x \vec{\nabla} \cdot \vec{j} = - \oint d\vec{\sigma} \cdot \vec{j} = 0$$

- Gauge symmetry requires  $m_{\gamma} = 0$ 
  - Experimental limits:
    - Measurement of Jupiter's magnetic field by Pioneer 10-probe:  $m_{\gamma} < 4.5 \cdot 10^{-16} \text{ eV}$
    - Measurement of galactic magnetic field:  $m_{\gamma} < 3.5 \cdot 10^{-27} \text{ eV}$
- **Gauge interactions must have infinite range !**
  - In contradiction with what has been said before ([more on this later !!!](#))

# Quantum Electrodynamics (QED)

- Additional symmetries of the QED:

- Continuous symmetries:

- Lorentz invariants
- Invariants under space-time shifts
- Rotation

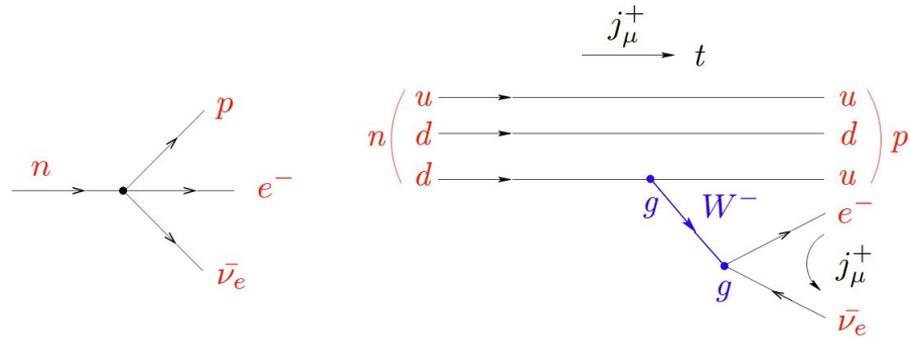
→ **Conservation of energy, momentum and angular momentum (Noether's theorem)**

- Discrete symmetries:

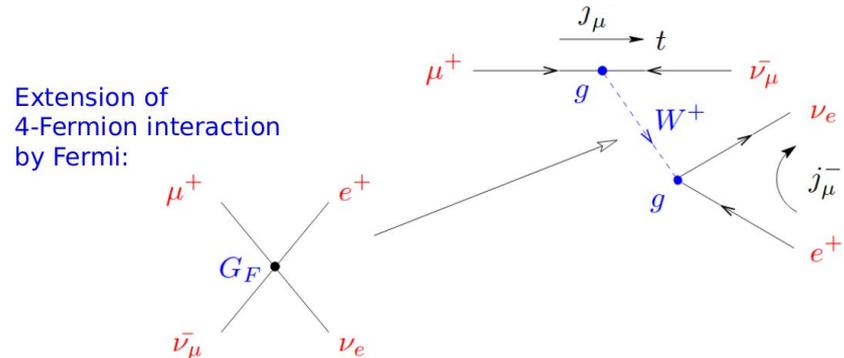
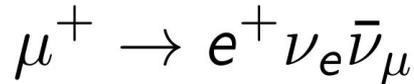
- Lepton- and quark-flavour conservation
- Parity P transformation:  $\vec{x} \rightarrow -\vec{x}$  and  $\vec{p} \rightarrow -\vec{p}$
- Time T transformation:  $t \rightarrow -t$
- Charge conjugation C :  $Q_f \rightarrow -Q_f$

# Weak interaction

- Description is analogous to QED with coupling of weak currents to an electric charged gauge boson:  $j^{\mu-} W_{\mu}^{+} + j^{\mu+} W_{\mu}^{-}$
- Short ranged interaction changing lepton and quark flavours.
  - Nuclear  $\beta$ -decay:



- Muon decay:



# Weak interaction

- Weak interactions are described using doublets of a fundamental SU(2) group (analogous to Spin and Isospin).
- Left-handed particles are sorted into SU(2)-Doublets:

			electric charge	hyper charge	3rd component of weak Isospin	
			$Q_f$	$Y_f$	$I_f^3$	
$L_\ell:$	$\begin{pmatrix} \nu_e \\ e^- \end{pmatrix}_L$	$\begin{pmatrix} \nu_\mu \\ \mu^- \end{pmatrix}_L$	$\begin{pmatrix} \nu_\tau \\ \tau^- \end{pmatrix}_L$	0	-1	$+\frac{1}{2}$
$L_q:$	$\begin{pmatrix} u \\ d' \end{pmatrix}_L$	$\begin{pmatrix} c \\ s' \end{pmatrix}_L$	$\begin{pmatrix} t \\ b' \end{pmatrix}_L$	$+\frac{2}{3}$	-1	$+\frac{1}{2}$
				$-\frac{1}{3}$	$+\frac{1}{3}$	$-\frac{1}{2}$

# Weak interaction

- Right-handed particles are sorted into SU(2)-Singulettts:

				$Q_f$	$Y_f$	$I_f^3$
$L_\ell:$	$\nu_{eR}$	$\nu_{\mu R}$	$\nu_{\tau R}$	0	0	0
	$e_R^-$	$\mu_R^-$	$\tau_R^-$	-1	-2	0
$L_q :$	$u_R$	$c_R$	$t_R$	$+\frac{2}{3}$	$+\frac{4}{3}$	0
	$d_R$	$s_R$	$b_R$	$-\frac{1}{3}$	$-\frac{2}{3}$	0

- Relationship between electric charge and hypercharge described by Gell-Mann-Nishijima formula:

$$Q_f = I_f^3 + Y_f/2$$

- The weak interaction induces flavour-changing transitions within the fermion-dubletts  $L_\ell$  (for leptons) and  $L_q$  (for quarks).

# Weak interaction

- Only left-handed fermions  $\psi_L$  and right-handed anti-fermions  $\bar{\psi}_R$  participate in the weak interaction.
- Due to the V-A structure of the weak currents, the weak interaction is maximal parity violating.
- Proof for parity violation in the weak interaction:
  - $K^+ \rightarrow \pi^+ \pi^0$  and  $K^+ \rightarrow \pi^+ \pi^- \pi^+$  (Lee & Yang in 1956)
  - Polarisation of electrons from nuclear  $\beta$  decay (Wu in 1957)
- Projection of the chiral fermion states:

$$\psi_L = P_L \psi = \left( \frac{1 - \gamma_5}{2} \right) \psi$$
$$\psi_R = P_R \psi = \left( \frac{1 + \gamma_5}{2} \right) \psi$$

with the chirality:  $\gamma_5 = \gamma_5^\dagger$

$$\gamma_5 \psi_L = -\psi_L$$

$$\gamma_5 \psi_R = \psi_R$$

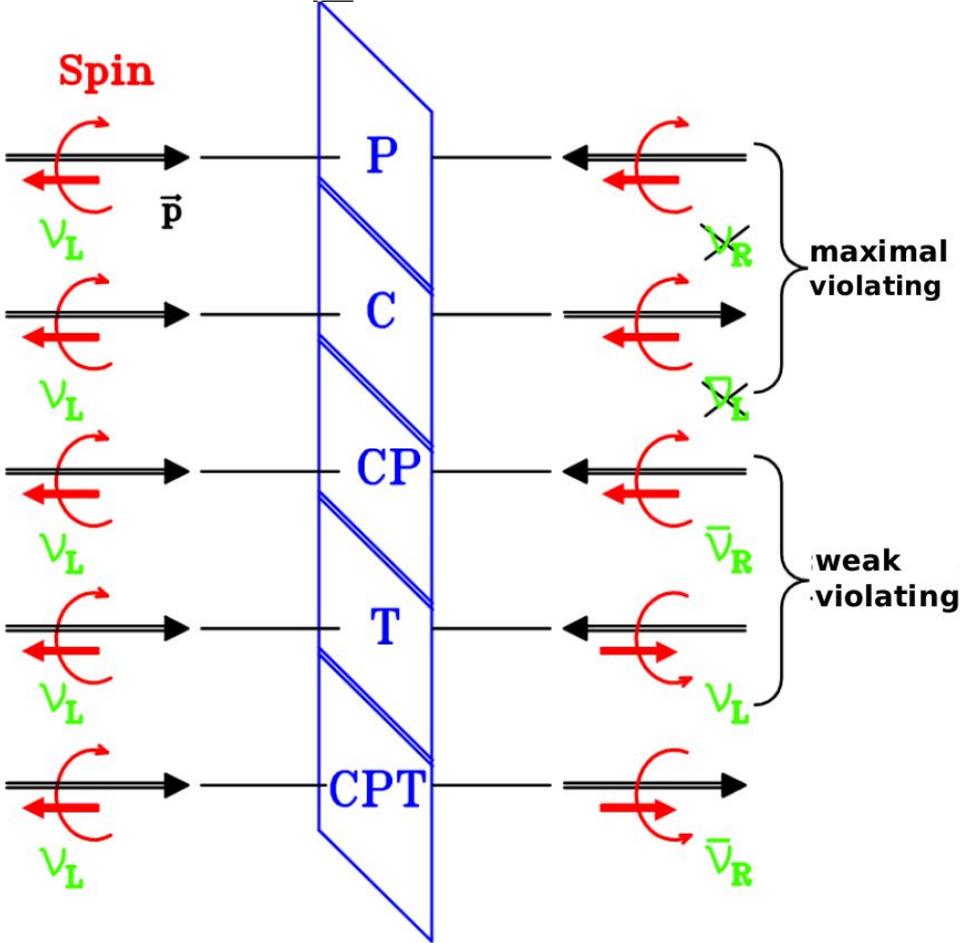
# Weak interaction

- Thus the weak fermion currents are defined via:

$$\begin{aligned}j_{\text{weak}}^{\mu} &= \underline{\bar{\psi}'_L \gamma^{\mu} \psi_L} \\&= (P_L \psi')^{\dagger} \gamma^0 \gamma^{\mu} P_L \psi = \psi'^{\dagger} P_L \gamma^0 \gamma^{\mu} P_L \psi \\&= \underline{\bar{\psi}' \gamma^{\mu} P_L \psi} = \underline{\frac{1}{2} \bar{\psi}' \gamma^{\mu} (1 - \gamma_5) \psi}\end{aligned}$$

- Thus we speak about vector ( $\gamma^{\mu}$ ) - axial vector ( $\gamma^{\mu} \gamma_5$ ) or V – A current

# Weak interaction



# Weak interaction

- Use local weak Isospin gauge symmetry  $SU(2)_L$  to describe the weak interaction:

- $SU(2)_L$ -Doublets (L):  $L_f \rightarrow e^{i\vec{T}\vec{\beta}(x)} L_f$
- $SU(2)_L$ -Doublets (R):  $\psi_R \rightarrow \psi_R$

- **3 Generator (charges)**

- Isospin vector:  $\vec{T} = \begin{pmatrix} T_1 \\ T_2 \\ T_3 \end{pmatrix}$
- Lie-algebra:  $[T_i, T_j] = i\epsilon_{ijk} T_k$  (i.e. weak interaction is non-abelian)
- Creation and annihilation operators:  $T^\pm = \frac{1}{2} (T_1 \pm iT_2)$
- Fermion-doublets with:  $|\vec{T}| = \frac{1}{2}, T_3 = \pm\frac{1}{2}$
- $\vec{T} = \frac{\vec{\sigma}}{2}$  with Pauli's spin matrices  $\sigma_i$  (with  $i = 1, 2, 3$ )

**Creation and Annihilation operator:**

$$\sigma^\pm = \frac{1}{2} (\sigma_1 \pm \sigma_2)$$

# Electroweak unification

- Common approach using local  $SU(2)_L$  and  $U(1)_Y$  gauge symmetry (Gashow 1961, Salam 1968, Weinberg 1967)  $\rightarrow$  (GSW theory)
  - Electromagnetic interaction has to be considered as well due to the electric charge of the weak gauge bosons  $W^\pm$
- $Y$  is weak hypercharge:  $[I_i, Y] = 0$  with  $i = 1, 2, 3$
- Therefore  $Y_f$  is the same for both components of a  $SU(2)_L$ -doublet whereas  $Q_f \neq Y_f$
- The electric charge within a multiplet is derived from  $Q_f = I_3 + Y/2$
- A unified gauge theory of a combined weak and electromagnetic interaction is described via:

$$\begin{aligned} \mathcal{L}_{SU(2) \times U(1)} = & -\frac{1}{4} f_{\mu\nu} f^{\mu\nu} - \frac{1}{4} F_{\mu\nu}^i F^{i\mu\nu} \\ & + \sum_f (\bar{\psi}_{fR} i \gamma^\mu D_{\mu R}) \psi_{fR} + \sum_L (\bar{L}_f i \gamma^\mu D_{\mu L}) L_f \end{aligned}$$

# Electroweak unification

where  $L_f$  is a left-handed SU(2)-doublets and  $\psi_f$  is a SU(2)-singulett, while the covariant derivatives are defined via:

$$\begin{aligned}D_{\mu L} &= \partial_\mu \cdot \mathbb{1} + ig' \frac{Y_{fL}}{2} B_\mu(x) \cdot \mathbb{1} + ig \vec{T} \cdot \vec{W}_\mu(x) \\ &= \partial_\mu \cdot \mathbb{1} + i \frac{g'}{2} Y_{fL} B_\mu(x) \cdot \mathbb{1} + i \frac{g}{2} \vec{\sigma} \cdot \vec{W}_\mu(x) \\ D_{\mu R} &= \partial_\mu + ig' \frac{Y_{fR}}{2} B_\mu(x)\end{aligned}$$

Here, the coupling constants  $g$  and  $g'$  are the weak Isospin and the weak hypercharge, respectively.

⇒ minimal gauge invariant coupling to 4 massless gauge bosons from a  $U(1)_Y$  and  $SU(2)_L$ :

$$B_\mu(x) \quad \text{and} \quad \vec{W}_\mu(x) = \begin{pmatrix} W_\mu^x(x) \\ W_\mu^y(x) \\ W_\mu^z(x) \end{pmatrix}$$

# Electroweak unification

- The field-strength tensors of the  $SU(2)_L \times U(1)_Y$  are defined via:

$$f_{\mu\nu} = \partial_\nu B_\mu(x) - \partial_\mu B_\nu(x)$$

$$F_{\mu\nu}^i = \partial_\nu W_\mu^i(x) - \partial_\mu W_\nu^i(x) + g\varepsilon^{ijk} W_\mu^j(x) W_\nu^k(x)$$

i.e. the formulation of the Lagrangian for free gauge fields is done analogous to that of the QED.

- All fermion masses need to be = 0, due to global  $SU(2)_L$  invariance
  - Different masses in the fermion doublets violate the  $SU(2)$  symmetry
- A mass term for dirac particles

$$m\bar{\psi}\psi = m\bar{\psi}(P_L^2 + P_R^2)\psi = m(\bar{\psi}_L\psi_R + \bar{\psi}_R\psi_L)$$

$$\bar{\psi}P_L = \bar{\psi}_R$$

$$\bar{\psi}P_R = \bar{\psi}_L$$

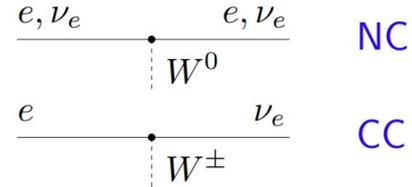
is not invariant

⇒ masses of electroweak gauge bosons (except for  $\gamma$ ) and fermions are generated by a spontaneous symmetry breaking of the local  $SU(2)_L \times U(1)_Y$  gauge symmetry (i.e. Higgs-mechanism).

# Electroweak unification

- Local SU(2) gauge transformations  $U(x)$  are defined via:

$$L \longrightarrow L' = U(x) \cdot L = e^{ig \frac{\vec{\sigma}}{2} \vec{\beta}(x)} L$$



- The interaction term of the electroweak Lagrangian can also be written as:

$$\begin{aligned} \mathcal{L}_{\text{Interaction}} &= -g' \underbrace{\sum_f \left( \bar{\psi}_f \gamma^\mu \frac{Y_f}{2} \psi_f \right)}_{j_Y^\mu} B_\mu - g \underbrace{\sum_L \left( \bar{L}_f \gamma^\mu \frac{\vec{\sigma}}{2} \cdot L_f \right)}_{\vec{j}_L^\mu} \vec{W}_\mu \\ &= -g' j_Y^\mu B_\mu - g j_L^{\mu 0} W_\mu^0 - \frac{g}{\sqrt{2}} \left( j_L^{\mu -} W_\mu^+ + j_L^{\mu +} W_\mu^- \right) \\ &= \mathcal{L}_{\text{NC}} + \mathcal{L}_{\text{CC}} \end{aligned}$$

with the definition of the flavour-changing charged currents and the charged gauge bosons

$$j_L^{\mu \pm} = \sum_L \bar{L}_f \gamma^\mu \tau^\pm L_f = j_L^{\mu 1} \pm i j_L^{\mu 2} \quad \text{and} \quad W_\mu^\pm = \frac{1}{\sqrt{2}} (W_\mu^1 \pm i W_\mu^2)$$

# Electroweak unification

- **Neutral currents** of neutrinos can only be mediated by weak interaction.
  - Discovered in 1973 at CERN via  $\nu_\mu p \rightarrow \nu_\mu p$ .
- Z and  $\gamma$  boson result from spontaneous symmetry breaking (rotated by angle  $\theta_W$  wrt original  $W^0$  and  $B^0$  vector boson plane):

$$\begin{pmatrix} B_\mu \\ W_\mu^0 \end{pmatrix} \longrightarrow \begin{pmatrix} A_\mu \\ Z_\mu^0 \end{pmatrix} = \begin{pmatrix} \cos \theta_W & \sin \theta_W \\ -\sin \theta_W & \cos \theta_W \end{pmatrix} \begin{pmatrix} B_\mu \\ W_\mu^0 \end{pmatrix}$$

with:

$$\cos \theta_W = \frac{g}{\sqrt{g^2 + g'^2 Y_L^2}}; \quad \sin \theta_W = \frac{g' Y_L}{\sqrt{g^2 + g'^2 Y_L^2}}$$

# Electroweak unification

- The photon field is defined via:

$$A_\mu = \frac{gB_\mu - g'Y_L W_\mu^0}{\sqrt{g^2 + g'^2 Y_L^2}}$$

while the Z -field is defined via:

$$Z_\mu^0 = \frac{gW_\mu^0 + g'Y_L B_\mu}{\sqrt{g^2 + g'^2 Y_L^2}}$$

- Both, the  $Z^0$  as well as the  $W^\pm$  bosons were discovered in 1983 at CERN in  $p\bar{p}$  collisions.
- Neutral weak currents (coupling of neutral fermion currents to the  $Z^0$  gauge boson) was already predicted by the GSW theory and finally observed in 1973 in neutrino scattering experiments at CERN (using bubble chambers).

# Electroweak unification

- Inverting the transformations gives:

$$B_\mu = \frac{gA_\mu + g'Y_L Z_\mu^0}{\sqrt{g^2 + g'^2 Y_L^2}} \quad \text{and} \quad W_\mu^0 = \frac{gZ_\mu^0 - g'Y_L A_\mu}{\sqrt{g^2 + g'^2 Y_L^2}}$$

- Including this into the Lagrangian gives:

$$\begin{aligned} \mathcal{L}_{NC} = & -\frac{\sqrt{g^2 + g'^2 Y_L^2}}{2} Z_\mu^0 (\bar{\nu}_{eL} \gamma^\mu \nu_{eL}) \\ & -\frac{gg'Y_L}{\sqrt{g^2 + g'^2 Y_L^2}} A_\mu (\bar{e}_L \gamma^\mu e_L) - \frac{gg'Y_R}{2\sqrt{g^2 + g'^2 Y_L^2}} A_\mu (\bar{e}_R \gamma^\mu e_R) \\ & -\frac{g'^2 Y_L^2 - g^2}{2\sqrt{g^2 + g'^2 Y_L^2}} Z_\mu^0 (\bar{e}_L \gamma^\mu e_L) - \frac{g'^2 Y_L Y_R}{2\sqrt{g^2 + g'^2 Y_L^2}} Z_\mu^0 (\bar{e}_R \gamma^\mu e_R) \end{aligned}$$

⇒ Neutrinos do not couple to the electromagnetic field  $A_\mu$

- Only left-handed neutrinos couple to  $Z_\mu$ , while right-handed neutrinos do not interact since their weak charge  $Y(\nu_R) = 0$ .

# Electroweak unification

- For the electromagnetic interaction we define:

$$Y_L = e \frac{\sqrt{g^2 + g'^2} Y_L^2}{gg'} = -1 \quad \text{and} \quad Y_R = 2Y_L$$

and thus:

$$\sqrt{g^2 + g'^2} = \frac{e}{\cos \theta_W \sin \theta_W} \quad e = \frac{-gg'}{\sqrt{g^2 + g'^2}} = g' \cos \theta_W = g \sin \theta_W$$

$$\frac{g'^2 - g^2}{2\sqrt{g^2 + g'^2}} = \frac{e}{\cos \theta_W \sin \theta_W} \left( -\frac{1}{2} + \sin^2 \theta_W \right)$$

$$-\frac{g'^2}{\sqrt{g^2 + g'^2}} = \frac{e}{\cos \theta_W \sin \theta_W} \left( -\sin^2 \theta_W \right)$$

# Electroweak unification

- With these expressions, the Lagrangian for interactions with neutral currents changes to:

$$\mathcal{L}_{NC} = - \frac{e}{\cos \theta_W \sin \theta_W} \left[ \left( -\frac{1}{2} + \sin^2 \theta_W \right) (\bar{e}_L \gamma^\mu e_L) + (-\sin^2 \theta_W) (\bar{e}_R \gamma^\mu e_R) \right] \\ - \frac{g}{2 \cos \theta_W} (\bar{\nu}_{eL} \gamma^\mu \nu_{eL}) Z_\mu^0 - e \sum_f (Q_f \bar{\psi}_f \gamma^\mu \psi_f) A_\mu$$

- In a more general representation:

$$\mathcal{L}_{NC} = - \frac{e}{\cos \theta_W \sin \theta_W} \cdot \sum_{f_R, f_L} [(I_{fL,R}^3 - Q_{fL,R} \sin^2 \theta_W) (\bar{\psi}_{fL,R} \gamma^\mu \psi_{fL,R})] Z_\mu^0 \\ - e \sum_f (Q_f \bar{\psi}_f \gamma^\mu \psi_f) A_\mu$$

- The weak neutral coupling of all left- and right-handed fermion states to the Z boson is described via:

$$\frac{e}{\cos \theta_W \sin \theta_W} (I_f^3 - Q_f \sin^2 \theta_W)$$

# Quantum chromodynamics (QCD)

- SU(3) gauge theory of the strong interaction between quarks and 8 charges (generators)  $\lambda^a$  ( $a = 1, \dots, 8$ ):

$$[\lambda^a, \lambda^b] = 2if^{abc} \lambda^c$$

with the structure constants of the SU(3)-Lie algebra  $f^{abc}$ .

- Local gauge transformations are given via:

$$\Psi_q(x) \longrightarrow \Psi'_q(x) = U(x)\Psi_q(x) = e^{ig_s \frac{\lambda^a}{2} \gamma^a(x)} \Psi_q(x)$$

while the Lagrangian is defined as:

$$\mathcal{L}_{QCD} = -\frac{1}{4} F_{\mu\nu}^a F^{a\mu\nu} + \sum_q \bar{\Psi}_q (i\gamma^\mu D_\mu - m_q) \Psi_q$$

with the covariant derivative (with  $D_\mu \psi = U(D_\mu \psi)$ ):

$$D_\mu = \partial_\mu + ig_s \frac{\lambda^a}{2} G_\mu^a$$

Gauge fields of the QCD  
(with  $a = 1, \dots, 8$ )

# Quantum chromodynamics (QCD)

- The field strength tensor is given via:

$$F_{\mu\nu}^a = \partial_\nu G_\mu^a - \partial_\mu G_\nu^a + g_s f^{abc} G_\mu^b G_\nu^c$$

- Within the fundamental SU(3) representation, the quark-fields are sorted into triplets using the quantum number: Colour ("red","green","blue"):

$$\chi_C = \begin{pmatrix} q_r \\ q_g \\ q_b \end{pmatrix}; \quad \chi_r = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}; \quad \chi_g = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}; \quad \chi_b = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

whereas antiquarks  
carry anticolour

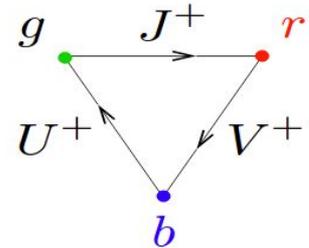
$$(\bar{r}, \bar{g}, \bar{b})$$

- Introduction of creation and annihilation operators within the SU(3)<sub>C</sub> colour-triplets:

$$I_C^\pm = \frac{1}{2}(\lambda_1 \pm i\lambda_2); \quad (\text{Transformation } g \longleftrightarrow r),$$

$$V_C^\pm = \frac{1}{2}(\lambda_4 \mp i\lambda_5); \quad (\text{Transformation } r \longleftrightarrow b),$$

$$U_C^\pm = \frac{1}{2}(\lambda_6 \pm i\lambda_7); \quad (\text{Transformation } b \longleftrightarrow g).$$



# Quantum chromodynamics (QCD)

- Here, the three dimensional Gell-Mann matrices are used (which are defined analogously to the Pauli matrices of the SU(2)):

$$\lambda_1 = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}; \lambda_2 = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad (g \longleftrightarrow r)$$

$$\lambda_4 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}; \lambda_5 = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix} \quad (r \longleftrightarrow b)$$

$$\lambda_6 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}; \lambda_7 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix} \quad (b \longleftrightarrow g)$$

# Quantum chromodynamics (QCD)

$$\lambda_3 = \begin{matrix} & \begin{matrix} r & g & b \end{matrix} \\ \begin{matrix} \bar{r} \\ \bar{g} \\ \bar{b} \end{matrix} & \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \end{matrix} \quad (\text{couples } r\bar{r}, -g\bar{g})$$
$$\lambda_8 = \frac{1}{\sqrt{3}} \begin{matrix} & \begin{matrix} r & g & b \end{matrix} \\ \begin{matrix} \bar{r} \\ \bar{g} \\ \bar{b} \end{matrix} & \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix} \end{matrix} \quad (\text{couples } r\bar{r}, g\bar{g}, -2b\bar{b}).$$

# Quantum chromodynamics (QCD)

- Interaction part of the QCD-Lagrangian:

$$\begin{aligned}
 \mathcal{L}_{WW}(SU(3)_C) &= -g_s(\bar{\Psi}\gamma^\mu \frac{\lambda^a}{2}\Psi)G_\mu^a \quad \leftarrow \text{conserved colour current} \\
 &= -\frac{g_s}{\sqrt{2}} \left[ \begin{aligned}
 &\bar{\Psi}\gamma^\mu I_C^+ \Psi (g\bar{r})_\mu + \bar{\Psi}\gamma^\mu I_C^- \Psi (r\bar{g})_\mu \\
 &+ \bar{\Psi}\gamma^\mu V_C^+ \Psi (r\bar{b})_\mu + \bar{\Psi}\gamma^\mu V_C^- \Psi (b\bar{r})_\mu \\
 &+ \bar{\Psi}\gamma^\mu U_C^+ \Psi (b\bar{g})_\mu + \bar{\Psi}\gamma^\mu U_C^- \Psi (g\bar{b})_\mu \\
 &+ \frac{1}{\sqrt{2}}\bar{\Psi}\gamma^\mu \lambda^3 \Psi G_\mu^3 + \frac{1}{\sqrt{2}}\bar{\Psi}\gamma^\mu \lambda^8 \Psi G_\mu^8 \end{aligned} \right]
 \end{aligned}$$

# Quantum chromodynamics (QCD)

with the eight gluon fields:

$$g_{\mu 1} = (g\bar{r})_{\mu} = \frac{1}{\sqrt{2}}(G_{\mu}^1 - iG_{\mu}^2),$$

$$g_{\mu 2} = (r\bar{g})_{\mu} = \frac{1}{\sqrt{2}}(G_{\mu}^1 + iG_{\mu}^2) = \bar{g}_{\mu 1},$$

$$g_{\mu 4} = (r\bar{b})_{\mu} = \frac{1}{\sqrt{2}}(G_{\mu}^4 + iG_{\mu}^5),$$

$$g_{\mu 5} = (b\bar{r})_{\mu} = \frac{1}{\sqrt{2}}(G_{\mu}^4 - iG_{\mu}^5) = \bar{g}_{\mu 4},$$

$$g_{\mu 6} = (b\bar{g})_{\mu} = \frac{1}{\sqrt{2}}(G_{\mu}^6 - iG_{\mu}^7),$$

$$g_{\mu 7} = (g\bar{b})_{\mu} = \frac{1}{\sqrt{2}}(G_{\mu}^6 + iG_{\mu}^7) = \bar{g}_{\mu 6},$$

$$g_{\mu 3} = \frac{1}{\sqrt{2}}(r\bar{r} - g\bar{g}) = G_{\mu}^3 \quad (\text{colour neutral}),$$

$$g_{\mu 8} = \frac{1}{\sqrt{2}}(r\bar{r} + g\bar{g} - 2b\bar{b}) = G_{\mu}^8 \quad (\text{colour neutral}).$$

# Quantum chromodynamics (QCD)

- Obtain eight colour charge operators from the  $3_C \otimes \bar{3}_C = 1_C + 8_C$  of the SU(3)
- Exchange of a gluon changes the Colour quantum numbers not the flavour quantum numbers of quarks.
- Gluons do not exist as colour-singlets in the SU(3) (in contrast to U(3)).
  - Such states would couple to colourless states, Mesons ( $q\bar{q}$ ) and Baryons ( $qqq$ ), and would induce strong and far ranged nuclear forces similar to the electromagnetic force
- Coloured particles (quarks and gluons) are bound to colour-singlet states (Mesons and Baryons) and do not appear as free states (**confinement**)
  - **Example:**

$$\pi^+ = \frac{1}{\sqrt{3}}(u_r \bar{d}_{\bar{r}} + u_g \bar{d}_{\bar{g}} + u_b \bar{d}_{\bar{b}})$$

# Quantum chromodynamics (QCD)

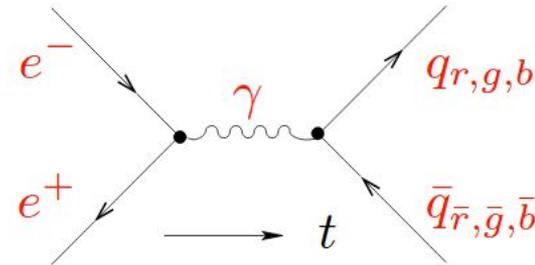
- **Motivation of colour quantum numbers:**

- The new inner degrees of freedom of the  $SU(3)_C$  colour symmetry allow the construction of an antisymmetric wave function for the  $\Delta^{++} = (u \uparrow u \uparrow u \uparrow)$  baryon ( $J^P = 3/2$  and  $L = 0$ ):

$$\chi_C(\Delta^{++}) = \frac{1}{\sqrt{6}} \epsilon_{ijk} u_i u_j u_k$$

- Forbidden without colour charge due to Pauli's principle
- Hadronic cross section in  $e^+e^-$  annihilation:

$$\begin{aligned} R &= \sigma(e^+e^- \rightarrow \sum_{q(E_{CM} > 2m_q)} q\bar{q}) / \sigma(e^+e^- \rightarrow \mu^+\mu^-) \\ &= N_C \cdot \sum_{q(E_{CM} > 2m_q)} Q_q^2 \end{aligned}$$



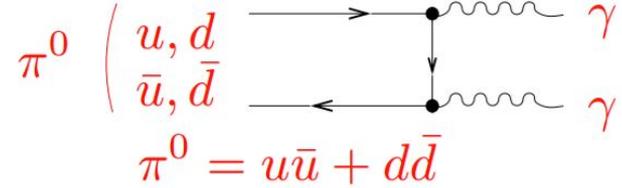
- Is thus  $N_C = 3$  (number of colour charges) times higher than the leptonic cross section.

# Quantum chromodynamics (QCD)

- **Motivation of colour quantum numbers:**

- Decay of the  $\pi^0$  into two photons:

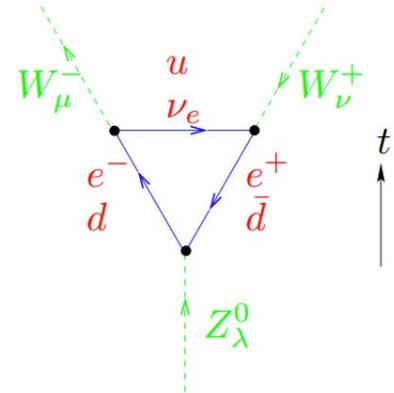
$$\Gamma(\pi^0 \rightarrow \gamma\gamma) \sim N_C^2$$



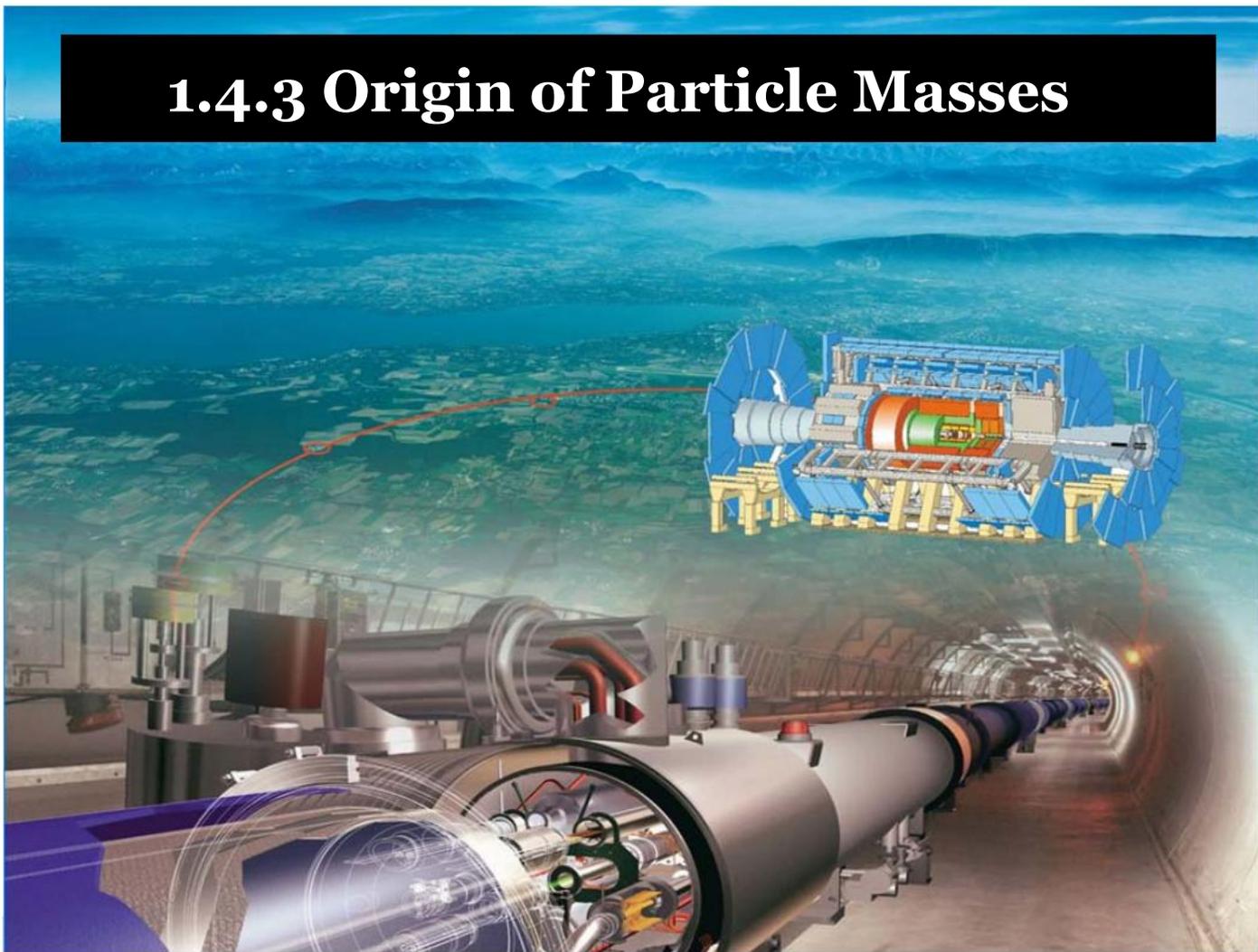
- Renormalizability of the electroweak interactions:
  - Divergent terms (appearing at higher orders perturbation) for interactions between two vector currents and one axial vector current are canceled if

$$\sum_f Q_f = \sum_l Q_l + N_C \cdot \sum_q Q_q = 0$$

Holds true (i.e. if quark and lepton contribution cancel each other).



# 1.4.3 Origin of Particle Masses



# Origin of particle masses

- While the electromagnetic interaction has an infinite range, the **weak interaction is short ranged**
  - i.e. the weak interaction must be mediated by **massive gauge bosons**
- Explicit mass terms of gauge bosons (described by Proca equation) **violate the local gauge symmetry of the Lagrangian**
- Explicit mass terms of fermions (described by Dirac equation) violate the global  $SU(2)_L$  gauge symmetry
- However, gauge symmetry is necessary to cancel divergences in every order of perturbation theory i.e. renormalizability of the electroweak theory (similar to QED)
- **Solution:** Introduce spontaneous symmetry breaking (SSB) of the vacuum expectation value of the field theory
  - **However, the gauge symmetry of the full Lagrangian is conserved**

# Origin of particle masses

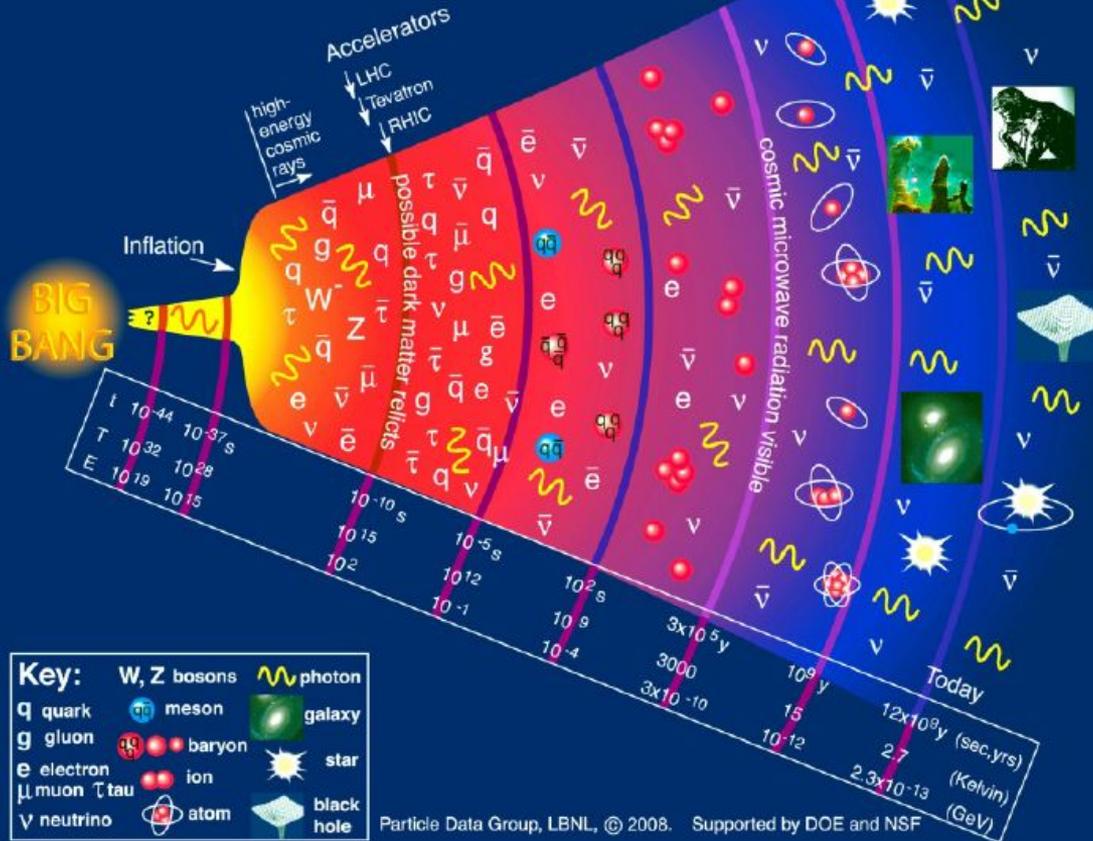
- **Higgs mechanism:**

- Constructed analogously to 2nd order phase transitions in solid matter state physics: SSB below a critical temperature  $T_c$ 
  - **In particle physics:**
    - Full symmetry of vacuum recovered at high energies/temperatures
      - I.e. phase transition, SSB, happened during the cooling of the expanding early universe

- **Goldstone's theorem:**

- The spontaneous breaking of a continuous symmetry leads to the existence of a massless scalar (“**Nambu-Goldstone boson**”)
  - Examples:
    - The longitudinal polarisation components of the **W- and Z- bosons** correspond to the Goldstone bosons of the spontaneously broken part of the electroweak symmetry  $SU(2) \otimes U(1)$

# History of the Universe



# Higgs mechanism

- Goldstone bosons are excitations of the field (in the direction of the broken symmetry)
  - **Example:**
    - Quasiparticles in solid state physics (such as phonons)
- In case of a spontaneously broken local gauge symmetry, the goldstone bosons are “eaten-up” by the gauge fields (**unitary transformation**)
  - This process provides the longitudinal polarisation states to the gauge bosons and a mass term
- Higgs mechanism is introduced analogously to the Meißner-Ochsenfeld effect:
  - Local U(1) phase symmetry is spontaneously broken in the ground state:
    - **Photon field obtains an effective mass as it is dampened due to interactions with Cooper pairs**
  - Independently proposed by research teams around:
    - **Peter Higgs**
    - **François Englert & Robert Brout**

# Higgs mechanism

- Introduce an additional complex scalar field within a  $SU(2)_L$ - doublet:

$$\Phi = \begin{pmatrix} \Phi^+ \\ \Phi^0 \end{pmatrix} \begin{matrix} Q & I_3 & Y = 2(Q - I_3) \\ +1 & +\frac{1}{2} & +1 \\ 0 & -\frac{1}{2} & +1 \end{matrix}$$

which fulfills the Klein-Gordon equation and is described by a  $SU(2) \times U(1)$  gauge invariant Lagrangian of the form:

$$\mathcal{L}_{\text{Higgs}} = (D_\mu \Phi)^\dagger (D^\mu \Phi) - V(\Phi^\dagger \Phi)$$

with the covariant derivative

$$D_\mu = \partial_\mu \cdot \mathbb{1} + ig' Y B_\mu \cdot \mathbb{1} + i \frac{g}{2} \vec{\sigma} \cdot \vec{W}_\mu$$

and the potential ( $\lambda > 0$ ):

$$V(\Phi^\dagger \Phi) = \mu^2 (\Phi^\dagger \Phi) + \lambda (\Phi^\dagger \Phi)^2$$

Self coupling parameter



# Higgs mechanism

- For  $\mu^2 < 0$ , the ground state (kinetic energy  $T = 0$  and  $V = V_{\min}$ ) is at a non-zero value of the scalar field

$$\frac{\partial V}{\partial |\Phi|} = 2\mu^2 |\Phi_0| + 4\lambda |\Phi_0|^3 = 0$$

$$\implies |\Phi_0| = \sqrt{\frac{-\mu^2}{2\lambda}} =: \frac{v}{\sqrt{2}}$$

where the vacuum expectation value of the Higgs field is:

$$v = \frac{|\mu|}{\sqrt{\lambda}} \approx 246 \text{ GeV}$$

- The ground state

$$\Phi_0 = \begin{pmatrix} 0 \\ v/\sqrt{2} \end{pmatrix}$$

spontaneously breaks the  $SU(2)_L \times U(1)_Y$  symmetry.

# Higgs mechanism

- The weak isospin doublet of the Higgs field can also be written as:

$$\Phi = \begin{pmatrix} \Phi^+ \\ \Phi^0 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} \Phi_1 + i\Phi_2 \\ \Phi_3 + i\Phi_4 \end{pmatrix}$$

- A fluctuation around the minimum  $v$  is written as:

$$\frac{1}{\sqrt{2}} \begin{pmatrix} \Phi_1 + i\Phi_2 \\ \Phi_3 + i\Phi_4 \end{pmatrix} \Rightarrow \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + h(x) + i\zeta(x) \end{pmatrix} \quad (19)$$

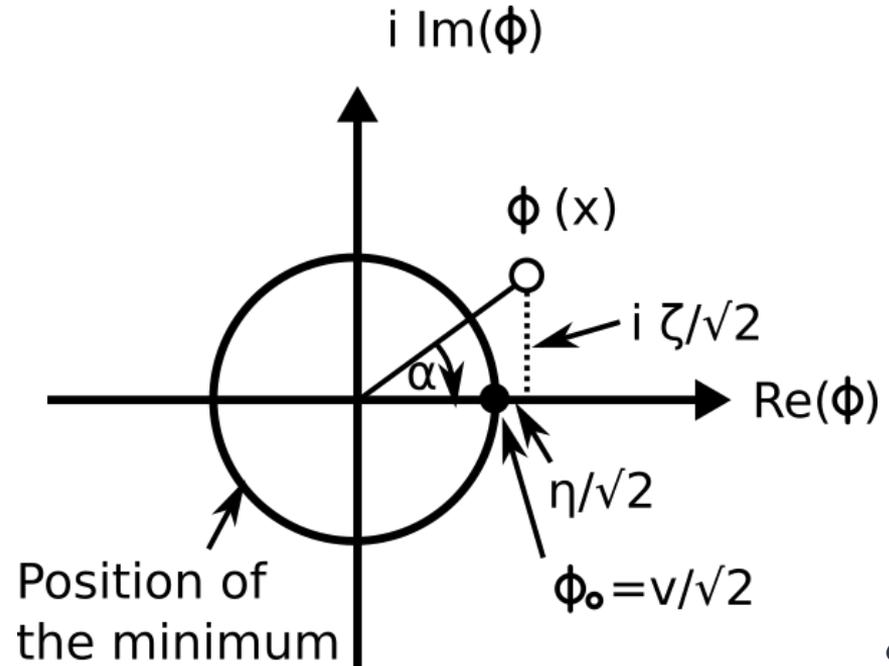
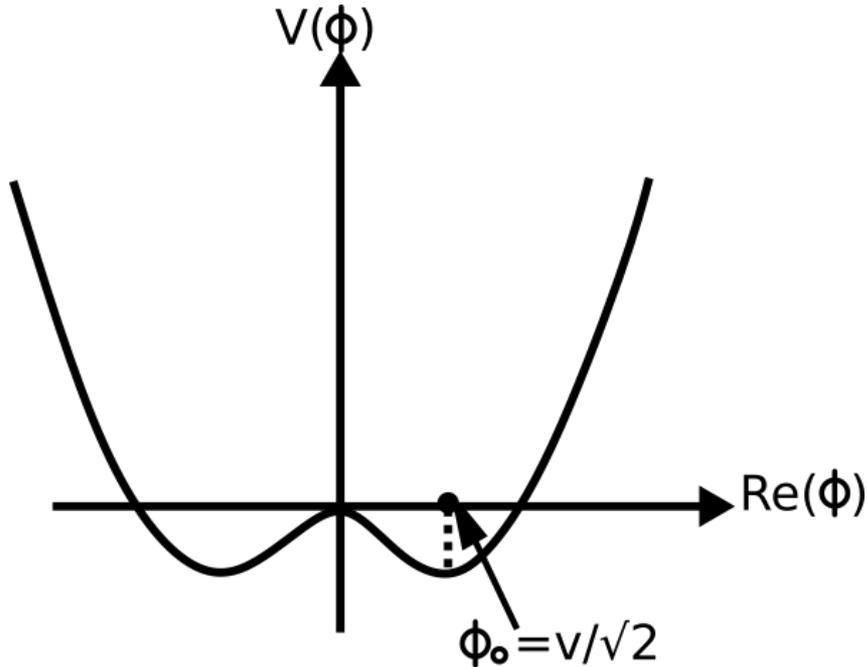
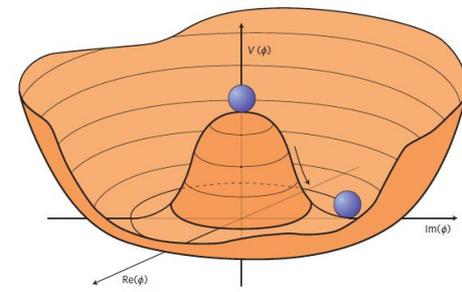
- The scalar field  $h(x)$  describes a physical Higgs boson, while  $\zeta$  is an unphysical massless state (Goldstone boson)
- Rewriting the original Lagrangian in terms of the quantum fields  $h$  and  $\zeta$  yields:

$$\mathcal{L} = \frac{1}{2} (\partial^\mu h \partial_\mu h) + \frac{1}{2} (\partial^\mu \zeta \partial_\mu \zeta) - \lambda v^2 h^2 - \lambda v h (h^2 + \zeta^2) - \frac{\lambda}{4} (h^2 + \zeta^2)^2$$

where the  $h$  field obtained a mass  $m = \sqrt{2\lambda v^2}$

# Higgs potential

- The Higgs potential has the shape of a “mexican hat”
- Higgs boson is a radial excitation of the field



# Gauge boson masses

- The gauge bosons obtain their masses via coupling to the Higgs field:
  - Inserting the vev component from equation (19) into the covariant derivative:

$$D_\mu \Phi = \left( \partial_\mu \cdot \mathbb{1} + i \frac{g'}{2} B_\mu \cdot \mathbb{1} + i \frac{g}{2} \vec{\sigma} \cdot \vec{W}_\mu \right) \Phi$$

$$D_\mu \Phi = \partial_\mu \begin{pmatrix} \Phi^+ \\ \Phi_0 \end{pmatrix} + \frac{i}{2} \begin{pmatrix} gW_\mu^3 + g'B_\mu & \sqrt{2}gW_\mu^+ \\ \sqrt{2}gW_\mu^- & -gW_\mu^3 + g'B_\mu \end{pmatrix} \begin{pmatrix} \Phi^+ \\ \Phi_0 \end{pmatrix}$$

leads to a term

$$+ \frac{i}{2} \begin{pmatrix} gW_\mu^3 + g'B_\mu & \sqrt{2}gW_\mu^+ \\ \sqrt{2}gW_\mu^- & -gW_\mu^3 + g'B_\mu \end{pmatrix} \begin{pmatrix} 0 \\ \frac{v}{\sqrt{2}} \end{pmatrix}$$

which results in:

$$\mathcal{L}_{\text{partial}} = \frac{1}{4} g^2 v^2 W_\mu^+ W_\mu^- + \frac{1}{8} v^2 (-gW_\mu^3 + g'B_\mu)^2 \quad (20)$$

# Gauge boson masses

- Studying equation (20), we can identify:

- The W boson mass term:

$$m_W = \frac{1}{2} g v$$

- The Z boson mass term:

$$m_Z = \frac{1}{2} (g^2 + g'^2)^{\frac{1}{2}} v = \frac{m_W}{\cos \theta_W}$$

- $A^\mu$  remains massless

- Before the interaction with the Higgs field:

- 8 degrees of freedom (2 polarisation states for each W, B)
- 4 degrees of freedom from the Higgs field

- After the interaction with the Higgs field:

- 9 degrees of freedom (3 polarisation states for each  $W^+$ ,  $W^-$ , Z)
- 2 degrees of freedom (2 polarisation states for  $A^\mu$ )
- 1 degrees of freedom for the Higgs boson h

With:

$$Z_\mu = \cos \theta_W W_\mu^3 - \sin \theta_W B_\mu$$

$$A_\mu = \sin \theta_W W_\mu^3 + \cos \theta_W B_\mu$$

$$\tan \theta_W = \frac{g'}{g}$$

and the general expressions for the mass terms of a charged spin-1 and a real spin-1 field, respectively:

$$m^2 V_\mu^\dagger V^\mu \quad \text{and} \quad \frac{1}{2} m^2 V_\mu V^\mu$$

# Yukawa coupling

- The Dirac equation is only invariant under SU(2) transformations of the left-handed fermion doublets, if the two constituents of a given doublet have the same mass (i.e.  $m_e = m_\nu$ )
  - To keep gauge invariance, Higgs-Mechanism is also used to generate fermions masses
- The Yukawa interaction describes the coupling between the Higgs field and the fermion fields:

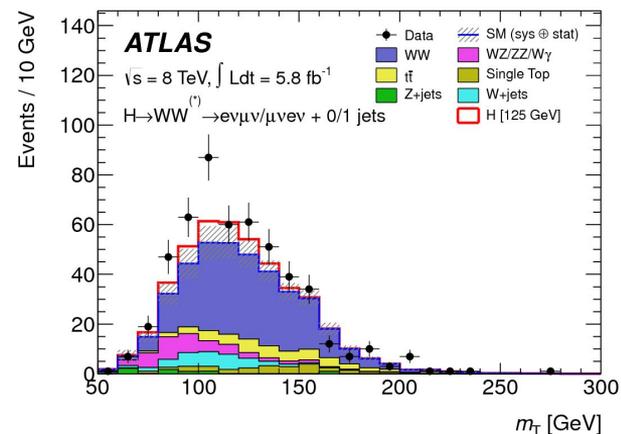
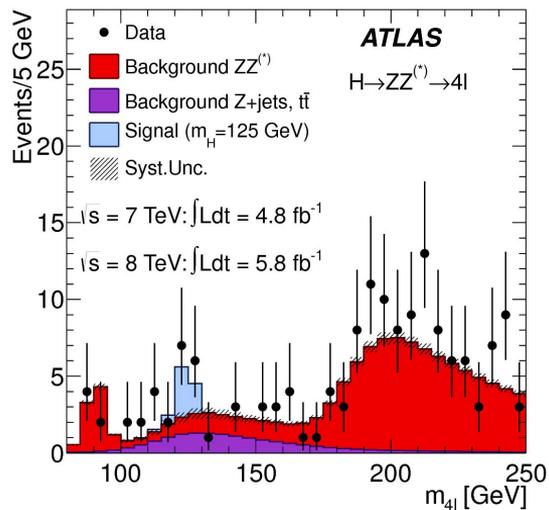
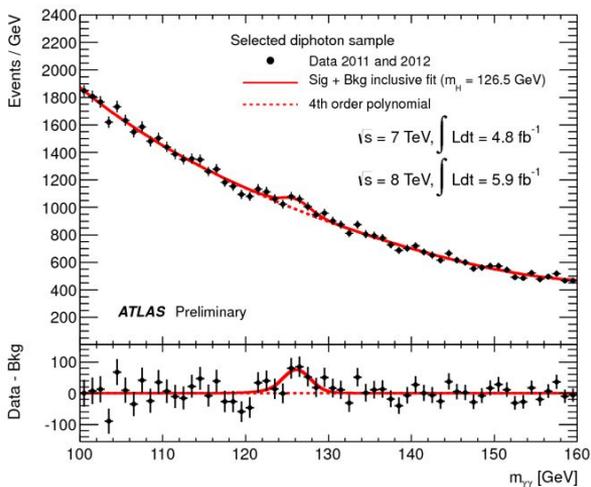
$$\mathcal{L}_{\text{Yukawa}} = - \sum_f g_f \left[ \left( \bar{L}_f \Phi \right) \psi_{fR} + \bar{\psi}_{fR} \left( \Phi^\dagger L_f \right) \right]$$

where the strength of the Higgs-fermion coupling  $g_f$  is proportional to the mass of the fermion:

$$g_f = \frac{\sqrt{2} \cdot m_f}{v}$$

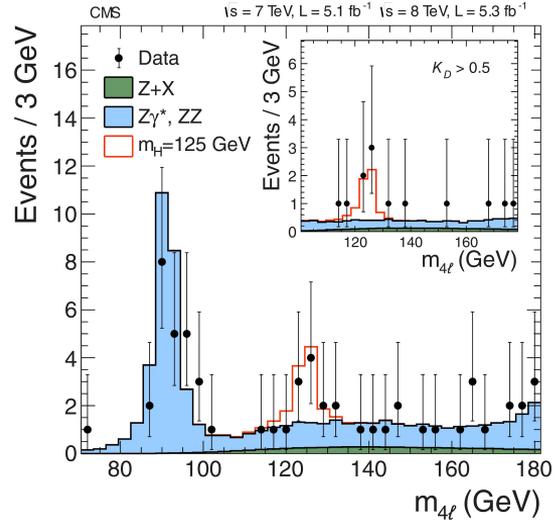
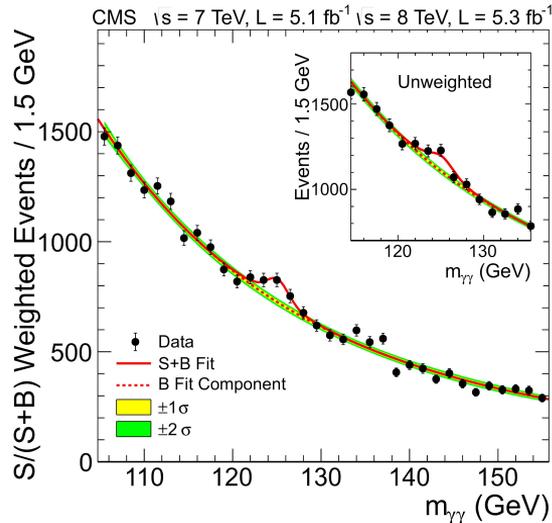
# Higgs boson discovery

- Discovery of a new particle compatible with the SM Higgs boson was published by the ATLAS and CMS collaborations in the Summer of 2012
  - Considering the following decay modes:
    - h  $\rightarrow$   $\gamma\gamma$  (ATLAS & CMS)
    - h  $\rightarrow$   $ZZ^* \rightarrow \ell\ell\ell\ell$  (ATLAS & CMS)
    - h  $\rightarrow$   $WW^* \rightarrow \ell\nu\ell\nu$  (ATLAS)

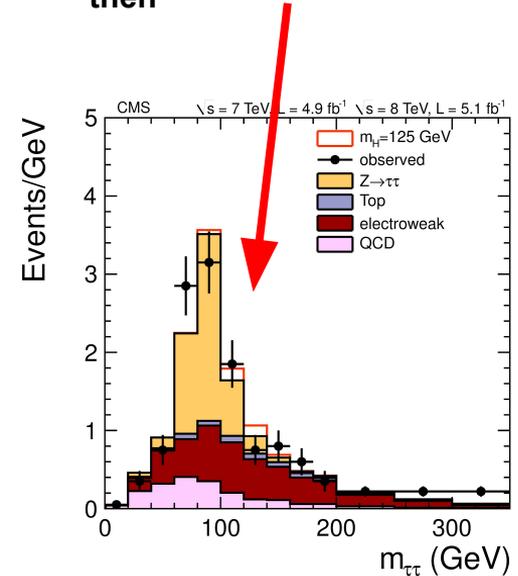


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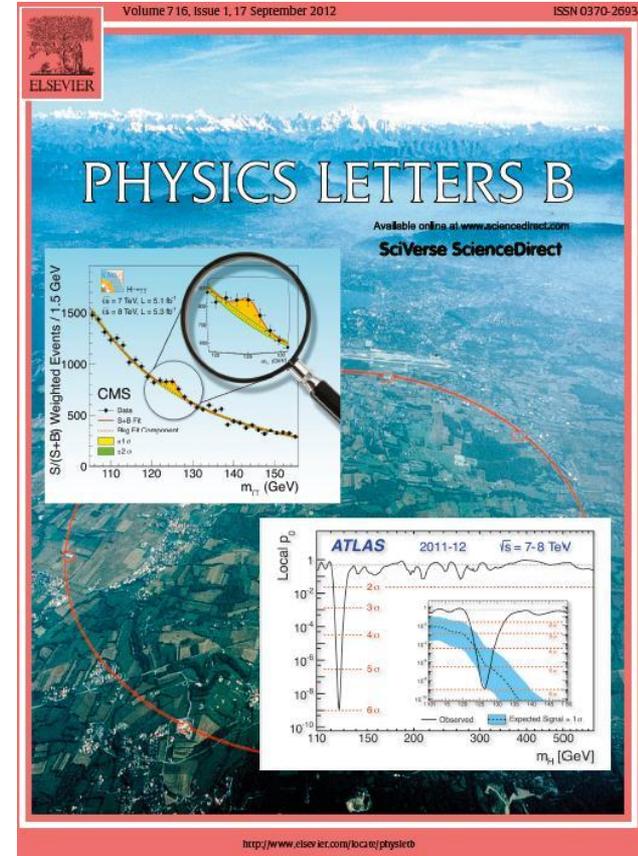
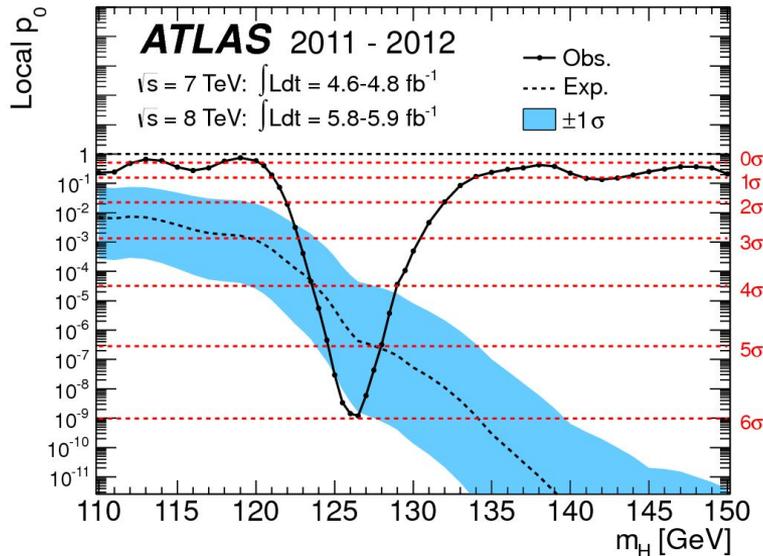


Other channels such as  $h \rightarrow \tau\tau$  were not sensitive enough back then



# Higgs boson discovery

- The discovery of the Higgs boson concluded a search lasting several decades
  - Previous inconclusive searches at LEP and TeVatron
- Resulted in Nobel prizes for: **François Englert** and **Peter W. Higgs** (for the prediction of the Higgs boson)



## 1.4.4 Quark-flavour mixing



# Quark-flavour mixing

- Mass eigenstates and flavour eigenstates of down-type quarks are different to the weak interaction
  - The mixing between the down-type quarks is described by an unitary matrix i.e. the so-called **Cabibbo-Kobayashi-Maskawa (CKM)-matrix**:

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = V_{CKM} \begin{pmatrix} d \\ s \\ b \end{pmatrix} \quad \text{with: } V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{tc} & V_{tb} \end{pmatrix}$$

- The matrix elements weight the transition probability for decays (via a **weak charged current**) of an up-type quark into a down-type quark (or vice versa)

$$u \leftrightarrow d' = V_{ud}d + V_{us}s + V_{ub}b$$

- The masses and mixings of quarks have a common origin in the Standard Model as they arise from the Yukawa interactions with the Higgs field
- Elements of the CKM matrix are not predicted by the SM, but have to be determined experimentally

# Quark-flavour mixing

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$ V_{ud}  = 0.97446 \pm 0.00010$	via nuclear $\beta$ -decays
$ V_{us}  = 0.22452 \pm 0.00044$	via semileptonic kaon decays (e.g. $K \rightarrow \pi e \nu_e$ )
$ V_{ub}  = 0.00365 \pm 0.00012$	via semileptonic $B$ decays (e.g. $B \rightarrow X_u \ell \nu_\ell$ )
<hr/>	
$ V_{cd}  = 0.22438 \pm 0.00044$	extracted from semileptonic charm decays
$ V_{cs}  = 0.97359^{+0.00010}_{-0.00011}$	from semileptonic $D$ or leptonic $D_s$ decays
$ V_{cb}  = 0.04214 \pm 0.00076$	semileptonic decays of $B$ mesons to charm
<hr/>	
$ V_{td}  = 0.00896^{+0.00024}_{-0.00023}$	via $B$ - $\bar{B}$ oscillations
$ V_{ts}  = 0.04133 \pm 0.00074$	via $B$ - $\bar{B}$ oscillations
$ V_{tb}  = 0.999105 \pm 0.000032$	from top quark decays

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# Quark-flavour mixing

- The CKM matrix can be parameterized by three mixing angles and the CP-violating complex phase. Of the many possible conventions, a standard choice has become:

$$\begin{aligned}
 V_{CKM} &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \cdot \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \cdot \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \\
 &= \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -s_{23}c_{12} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}
 \end{aligned}$$

where  $s_{ij} = \sin \theta_{ij}$ ,  $c_{ij} = \cos \theta_{ij}$ , and  $\delta$  is the phase responsible for all CP-violating phenomena in flavor-changing processes in the SM. The angles  $\theta_{ij}$  can be chosen to lie in the first quadrant, so  $s_{ij}, c_{ij} \geq 0$ .

# Quark-flavour mixing

- An alternative representation of the CKM matrix is the Wolfenstein parameterisation:

$$V_{CKM} = \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4)$$

- The Wolfenstein parameters can be translated via:

$$s_{12} = \lambda = \frac{|V_{us}|}{\sqrt{|V_{ud}|^2 + |V_{us}|^2}} \quad s_{23} = A\lambda^2 = \lambda \left| \frac{V_{cb}}{V_{us}} \right| \quad s_{13} e^{i\delta} = V_{ub}^* = A\lambda^3 (\rho + i\eta)$$

# Quark-flavour mixing

- The unitarity of the CKM matrix imposes:

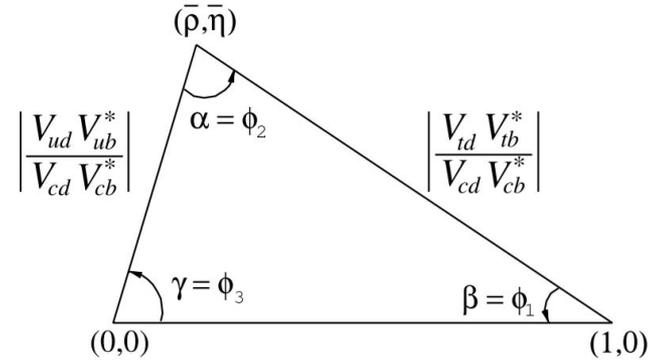
$$\sum_i V_{ij} V_{ik}^* = \delta_{jk}$$

$$\sum_j V_{ij} V_{kj}^* = \delta_{ik}$$

- The six vanishing combinations can be represented as triangles (i.e. by the **unitarity triangles**) in a complex plane
- The most commonly used unitarity triangle arises from

$$V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0$$

by dividing each side by the best-known one,  $V_{cd} V_{cb}^*$



- Phases of CKM elements:**

$$\beta = \Phi_1 = \arg \left( -\frac{V_{cd} V_{cb}^*}{V_{td} V_{tb}^*} \right)$$

$$\alpha = \Phi_2 = \arg \left( -\frac{V_{td} V_{tb}^*}{V_{ud} V_{ub}^*} \right)$$

$$\gamma = \Phi_3 = \arg \left( -\frac{V_{ud} V_{ub}^*}{V_{cd} V_{cb}^*} \right)$$

# Quark-flavour mixing

- **Some last remarks:**
  - The main consequences of the quark-flavour mixing in weak charged interactions are:
    - 1) Quark-flavour oscillations
    - 2) Violation of the CP symmetry

→ Will come back to studies on quark-flavour oscillation and CP violation during the lectures next semester
  - Flavour changing neutral currents (i.e. FCNC) do not appear in tree level processes within the SM